LICKING VALLEY RURAL ELECTRIC COOPERATIVE CORPORATION

OF

WEST LIBERTY, KENTUCKY 41472

RATES, RULES AND REGULATIONS FOR FURNISHING ELECTRICITY

AT

BREATHITT, LEE, MAGOFFIN, MENIFEE, MORGAN
AND WOLFE COUNTIES, KENTUCKY

FILED WITH THE PUBLIC SERVICE COMMISSION
OF KENTUCKY

ISSUED APRIL 15, 1991

EFFECTIVE MAY 01, 1991

ISSUED BY: LICKING VALLEY RURAL ELECTRIC COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION

OF KENTUCKY EFFECTIVE

BILL DUNCAN GENERAL MANAGER MAY 1 1991

PURSUANT TO 807 KAR 5:011,

SECTION 9 (1)

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RULES AND REGULATIONS

A. GENERAL

SCOPE

This Schedule of Rules and Regulations is a part of all contracts for receiving electric service from the Cooperative and applied to all service received from the Cooperative whether the service is based upon a contract, agreement, signed application, or otherwise. No employee or individual director of the cooperative is permitted to make an exception to rates or Rules and Regulations. Rates and service information can be obtained from the Cooperative's office or Cooperative personnel.

REVISIONS

These Rules and Regulations may be revised, amended, supplemented, or otherwise changed from time to time after approval of Licking Valley RECC's Board of Directors and the Public Service Commission. Such changes when effective shall have the same force as the present Rules and Regulations. The Consumers shall be informed of any changes as soon as possible, after adoption by the Board of Directors, through the Cooperative's monthly newsletter or direct mailing.

CONSUMER'S RESPONSIBILITY FOR COOPERATIVE'S PROPERTY

All meters, service connections, and other equipment furnished by the Cooperative shall be, and remain the property of the Cooperative. The consumers shall exercise proper care to protect the property of the Cooperative on its premises; and in the event of loss or damage to the Cooperative's property arising from neglect of the consumer to care for same, the cost of necessary repairs or replacement shall be paid by the consumer.

CONTINUITY OF SERVICE

The Cooperative shall use reasonable diligence to provide a constant and uninterrupted supply of electric power and energy, but if such a supply should fail or be interrupted or become defective through an act of God, or the public enemy, or by accident, strikes, labor troubles, or by action of the elements, or by inability to secure right(s)-of-way or other permits needed, or for any other cause beyond the reasonable control of the Cooperative, the Cooperative shall not be liable.

RELOCATION OF LINES

The Cooperative will cooperate with all political subdivisions in the construction, improvement or rehabilitation of public streets and highways. It is expected that these political subdivisions will give reasonable notice to permit the Cooperative to relocate its lines to permit the necessary road construction. If the Cooperative's poles, anchors, and other appurtenances are located within the confines of the public right(of)-of-way,

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the Cooperative shall make the necessary relocation at its own expense. If the Cooperative's poles, anchors or other facilities are located on private property, the political subdivision then shall agree to reimburse the Cooperative. Upon request by consumer-property owner, where facilities are to be relocated, relocation will be considered, provided adequate right-of-way can be obtained for the relocation requested. The consumer-owner will be required to pay the cost of materials necessary to make the requested changes unless one or more of the following conditions are met:

- (a) The relocation is made for the convenience of the Cooperative.
- (b) The relocation will result in a substantial improvement in the Cooperative's facilities or their location.
- (c) That the relocation is associated with other regularly scheduled conversion or construction work and can be done at the same time.
- (d) Per consumer-owner request when right-of-way is provided. In such instance consumer-owner will be required to pay for making requested changes.

SERVICES PERFORMED FOR CONSUMERS

The Cooperative's personnel shall not while on duty make repairs or perform service to the consumer's equipment or property except in cases of emergency or to protect the public or consumer's person or property. When such emergency services are performed, the consumer shall be charged for such service(s) at the rate of time and material(s) used.

APPLICATION FOR SERVICE

Each prospective consumer desiring electric service will be required to sign the Cooperative's "Application for Membership and for Electric Service", also, sign a contract when applicable, before service is supplied by the Cooperative and provide the Cooperative with necessary easements or right(s)-of-way permits.

MEMBERSHIP FEE

Each prospective consumer shall pay the membership fee of twenty dollars (\$20.00) before or at the time service is supplied. A service connection fee of eighteen dollars (\$18.00) shall be charged for each additional service connection. The membership fee will be refunded, if all bills are paid, or applied against any unpaid bills of the consumer at the time service is disconnected, which will automatically terminate the membership. The membership is jointly owned by husband and wife and is not transferable.

RIGHT OF ACCESS

Each consumer shall give and grant right of access to the Cooperative without paid compensation to his, hers, or its lands and premises for the purpose of placing, locating, building, constructing, operating, replacing, rebuilding, relocating, repairing, improving, enlarging, extending and maintaining on, over, or under such lands and premises, or anchors and other

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necessary or appurtenant parts.

CONSUMER DESIRING SERVICE OR DISCONTINUANCE OF SERVICE

Any consumer desiring service, discontinuance of service, or changed from one location to another, shall give the Cooperative three (3) working days notice in person or in writing provided such notice does not violate contractural obligations.

RECONNECTION AND NAME CHANGE CHARGES

The Cooperative will make no charge for connecting service to the consumer's premises for the initial installation of service. There shall be a fee of eighteen dollars (\$18.00) for each service connection and name change thereafter.

RESALE OF POWER BY CONSUMERS

All purchased electric service used on the premises of the member shall be supplied exclusively by the Cooperative, and the consumer shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service or any part thereof, except by written contract approved by the Board of Directors.

SPECIAL TEMPORARY SERVICE

Facilities that are temporary in nature such as for construction contractors, sawmills, oil wells, carnivals, etc., will be provided to consumers desiring such facilities, provided they pay an advance fee equal to the reasonable cost or providing and removing such facilities with normal charges.

DISTRIBUTION LINE EXTENSIONS TO MOBILE HOMES

- All extensions of up to 150 feet from the nearest facility shall be made without charge. (a)
- Extensions greater than 150 feet from the nearest facility and up to 300 feet shall be made provided the consumer shall pay the Cooperative a "consumer advance for construction of fifty dollars (\$50.00) in addition to any other charges required by the Cooperative for all consumers. This advance shall be refunded at the end of one (1) year if the service to the mobile home continues for that length of time.
- (c) For extensions greater that 300 feet and less that 1,000 feet from the nearest facility, the Cooperative may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty dollars (\$50.00).
- This advance shall be refunded to the consumer over a four (4) year period in equal amount for each year the service is continued.
- If the service is discontinued for a period of sixty days, or should the mobile home be removed and another not take its place within sixty (60) days, or be replaced by a

permanent structure, the remainder of (f) No refunds shall be made to any consu		
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DISTRIBUTION LINE EXTENSION

(1) Normal Extensions. An extension of 1,000 feet or less shall be made by the Cooperative to its existing distribution line without charge for a prospective consumer who shall apply for and contract to use the service for one (1) year or more and provides guarantee for such service. The "service drop" to the point of attachment from the distribution line at the last pole shall not be included in the foregoing measurements.

(2) Other Extensions. (a) When an extension of the Cooperative's line to service an applicant or group of applicants amounts to more than 1,000 feet per consumer, the Cooperative may, if not inconsistent with its filed tariff, require the total cost of the excessive footage over 1,000 feet per consumer to be deposited with the Cooperative by the applicants based on the average estimated cost per foot of the total extension. (b) Each consumer receiving service under such extension will be reimbursed under the following plan: Each year for a period of not less than ten (10) years, which for the purpose of this rule shall be the refund period, the Cooperative shall refund to the consumer or consumers who paid for the excessive footage the cost of 1,000 feet of the extension in place for each additional consumer connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom. In no case shall the total amount refunded exceed the amount paid the Cooperative. After the end of the refund period, no refund will be required to be made. (c) An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year for a period of not less than ten (10) years the Cooperative shall refund to the applicant who paid for the extension a sum equivalent to the cost of 1,000 feet of the extension installed for each additional consumer connected during the year, but in no case shall the total amount refunded exceed the amount paid to the Cooperative. After the end of the refund period from the completion of the extension, no refund will be required to be made. (d) Nothing contained herein shall be constructed as to prohibit the Cooperative from making extensions under different arrangements provided such arrangements have been approved by the Commission. (e) Nothing herein shall be constucted as to prohibit the Cooperative from making at its expense greater extensions than herein prescribed, should its judgement so dictate, provided like free extensions are made to other consumers under similar conditions. (f) Upon complaint to and investigation by the Commission, the Cooperative may be required to construct extensions greater than 1,000 feet upon a finding by the Commission that such extension is reasonable.

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⁽g) Extensions made under (c) and (d) above shall be made on an "Estimated Average Cost" per foot of line. This cost may be reviewed and updated at six-month intervals.

⁽h) All mobile homes will be required to pay a two-twelfths (2/12) consumer deposit as per specified elsewhere in these rules and regulations.

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which service is relige	e or discontinue serviuse of service. ent monthly bill is not ered, the service shal	ce of all classification to paid by the 30th of the discontinued and a	he following month for
cuarge or ergureen dol	Liars (\$18.00) each, i	n addition to the bill licable shall be made p	for corvice and any
If discontinuance is for no days written notice separate than twenty-seven (27) days discontinuance, a residentify by a physician, registered aggravate an existing illness uance may be effected not 1 customer, in writing, of lopayment of bills and the of	s after the mailing da lal customer presents nurse, or public heal ess or infirmity on th less than thirty (30) ocal, state and federa	ill and cut-off shall b te of the original bill to the utility a writte th officer, that such d e affected premises, in days from the date the	e effected not less, unless, prior to n certificate, signed iscontinuance will which case discontinutility notifies the
When the following condition	ns exist, the Coopera	tive will refuse or disc	continue service:
until indebtedness is	ons are found to exist onsumer refused to proposed to proposed to paid. ate, local and other of the control of	regulations. t. ovide reasonable access o the Cooperative for se codes, rules and regulat	ervice furnished,
If an application is received where power was supplied to grounds that the applicant to defraud or make misrepress facts are established, the redeposit if any, applied to the supplied to the	the delinquent consurisg applying as the ago sentation to the Co-or membership of the ager	mer, the application will ent of the delinquent co o. If the application in out applicant will be can	l be denied on the
RECONNECTIONS For all cases of refusal or refusal or discontinuance had and the Public Service Commitments.	as been corrected and	all rules and regulation	ns of the Cooperative
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render service to the consumer or applicant. When advance notice is required, such notice may be given by the Cooperative by mailing by United States mail, postage prepaid, to the last known address of the applicant or consumer.

TEMPORARY DISCONNECTION

Members requesting their service disconnected for reasons such as vacations, repairs to homes, etc., will not be charged for disconnecting the service. However a service charge of eighteen dollars (\$18.00) will be made for reconnecting the service.

METER TESTS

The Cooperative will at its own expense make periodical tests and inspections of its meters in order to maintain a high standard of accuracy and to conform with the regulations of the Public Service Commission. The Cooperative will make additional tests of the meters at the request of the consumer, provided a fee of eighteen dollars (\$18.00) is paid in advance. case the test made at the consumer's request shows that the meter is accurate within two percent (2%) slow or fast, no adjustment will be made to the consumer's bill and the fee paid will be forfeited to cover cost of testing. In case the test shows the meter to be in excess of two percent (2%) fast or slow, an adjustment shall be made in the consumer's bill in accordance with the Rules and Regulations of the Public Service Commission and the cost of testing will be borne by the Cooperative and the eighteen dollars (\$18.00) fee paid by the consumer will be refunded.

FAILURE OF METER TO REGISTER

In the event a consumer's meter should fail to register, the consumer shall be billed from the date of such failure at the average consumption of the consumer based on the twelve (12) month period immediately preceding the failure, or some other equitable bases.

CONSUMER EQUIPMENT

Point of Delivery - The point of delivery is the point as designated by the Cooperative on consumer's premises where current is to be delivered to building or premises; namely the point of attachment shall be the meter. A consumer requesting a delivery point different from the one designated by the Cooperative will be required to pay the additional cost of providing the service at such delivery point. All wiring and equipment beyond this point of delivery shall be supplied and maintained by the consumer.

CONSUMER'S WIRING STANDARDS

All wiring of consumer's building and premises must conform to Distributor requirements and accepted modern standards, as exemplified by the requirements of the National Electrical

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INSPECTIONS

The Cooperative shall have the right, but shall not be obligated to inspect any installation before electricity is introduced, or at any later time, and reserves the right to reject any wiring or appliances not in accordance with the National Electric Code or other governing bodies, but such inspection or failure to inspect or reject shall not render the Cooperative liable or responsible for any loss or damage resulting from defects in the installation, wiring, or appliances, or from violation of the Cooperative's rules, or from accidents which may occur upon consumer's premises. Further, if it is required, it shall be the responsibility of the consumer to present to the Cooperative a certificate of inspection covering all State and Local ordinances in effect at the time, before such connections are to be made.

BILLING

Each month a bill, for the previous month's service will be mailed to the consumer showing all charges according to rate schedules approved by the Public Service Commission of Kentucky All bills to be mailed to the consumers in time to reach them by the 20th of the month. On this date, the member shall read his or her meter and place the reading in the space provided on the bill. This part of the bill to be returned to the Cooperative by the ninth (9th) of the following month. Five percent (5%) penalty will be added after the ninth (9th) of the following month. Failure to pay will result in the issuance of a disconnect work order after a proper written notice has been mailed and then ten (10) days has elapsed.

BUDGET BILLING

A budget plan is available.

- (a) The account must be paid up to date, with no balances, when the budget plan is put into effect. The first budget payment will be made with the July billing.
- (b) The annual estimated cost of heat and utilities and monthly payments may change from year to year due to conditions of weather and adding of more appliances, and a change in the rates of the Cooperative.
- (c) The member shall read the meter on the 20th of the month, and report the reading each month when payment is made. All bills are to be paid by the ninth (9th) of the following month, and bills not paid by the ninth (9th) of the following month will have a five percent (5%) late charge added.
- (d) Full settlement of the bill must be made each year with payment of the June bill or the the budget privileges will be cancelled, and the account will be treated as a delinquent account.
- (e) This budget is for the convenience of the member, but failure to abide with the established rules the member will lose the privilage of the budget plan.

RETURNED CHECKS FOR INSUFFICIENT FUNDS

A service charge in the amount of ten dollars (\$10.00) shall be charged for all bad checks returned by the bank due to insufficient funds.

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COLLECTION OF DELINQUENT ACCOUNT

Should it become necessary for the Cooperative's representative to call at the consumer's premises or other locations for the purpose of collecting a delinquent account, a charge of eighteen dollars (\$18.00) will be applied to the consumer's account for the extra service rendered, due payable at time such delinquent account is collected. If service is disconnected for non-payment, an additional charge of eighteen dollars (\$18.00) will be made for reconnecting service due and payable at time of such reconnection. If the consumer requests reconnection after regular working hours, the additional charge will be forty-eight dollars (\$48.00).

METER READING

Each consumer receiving service will read his or her meter by the ninth (9th) of the following month. In the event that the consumer fails to read the meter as outlined or fails to notify the Cooperative office for three successive months, the Cooperative will read same meter and bill the consumer eighteen dollars (\$18.00) for this service.

DEPOSITS

- The Cooperative will require from any customer or applicant for service a minimum cash deposit or other guaranty to secure payment of bills of an amount not to exceed twotwelfths (2/12) of the estimated annual bill of such customer or applicant, where bills are rendered monthly. The Cooperative may require an equal deposit from all applicants for the same class of service. If the Cooperative retains a residential deposit for more than eighteen (18) months, it shall advise the customer that the deposit will be recalculated based on actual usage upon the customer's request. The notice of deposit recalculation shall state that if the deposit on account differs by more than ten dollar (\$10.00) from the deposit calculated on actual usage, then the Cooperative shall refund any over collection and may collect any underpayment. Refunds may be made by check or by credit to the customer's bill.
- 2. Notification of a customer's right to a deposit recalculation shall be made at least once annually. The notice may be made by means of a general mailing to all customers which specifies the above conditions.
- 3. The refund provisions contained in subsection (1) above notwithstanding, the Cooperative shall not be required to refund any excess deposit if the customer's bill is delinquent by more than one (1) billing period at the time of recalculation.
- 4. The Cooperative shall issue to every customer from whom a deposit is received a certificate of deposit, showing the name of the customer, location of initial premises occupied, date and amount of the deposit. If a residential deposit is recalculated in accordance with the above provisions, the customer shall return the original certificate of deposit to the utility in return for a new, accurate certificate.
- The required deposit will earn interest at the rate of six percent (6%) and paid to the 5. consumer annually. The deposit will be refunded when service is discontinued and all

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C. The following words and terms, when used in this policy shall have the meaning indicated:

<u>Applicant</u>— the developer, builder or other person, partnership, association, corporation or governmental agency applying for the installation of an underground electrical distribution system.

<u>Building</u>- a structure enclosed within exterior walls or fire walls, built, erected and framed of component structural parts and designed for less than five (5) family occupancy.

Multiple-Occupancy Building- a structure enclosed within exterior walls or fire walls, built, erected and framed of component structural parts and designed to contain five (5) or more individual dwelling units.

<u>Distribution System</u>— electric service facilities consisting of primary and secondary conductors, tranformers, and necessary accessories and appurtenances for the furnishing of electric power at utilization voltage.

<u>Subdivision</u>— the tract of land which is divided into ten (10) or more lots for construction of new residential buildings, or the land on which is constructed two (2) or more new multiple-occupancy buildings.

Trenching and Backfilling- opening and preparing the ditch for the installation of conductors, including placing of raceways under roadways, driveways, or paved areas, providing a sand bedding below and above conductors when required and backfill of trench

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D. Rights of Way and Easements

- The Cooperative shall construct, own, operate, and maintain distribution lines only along easements, public streets, roads, and highways which are by legal right accessible to the utility's equipment and which the utility has the legal right to occupy and on the public lands and private property across which rights of way and easement satisfactory to the Cooperative are provided without cost or condemnation by the Cooperative.
- Rights of way easements suitable to the Cooperative for the underground distribution facilities must be furnished by the applicant in reasonable time to meet service requirements. The Applicant shall make the area in which the underground distribution facilities are to be located accessible to the Cooperative's equipment, remove all obstructions from such area, stake to show property lines and final grade, perform rough grading to a reasonable approximation of final grade, and maintain clearing and grading during construction by the Cooperative. Suitable land rights shall be granted to the Cooperative obligating the Applicant and subsequent property owners to provide continuing access to the utility for operation, maintenance or replacement of its facilities, and to prevent any encroachment in the utility's easement or substantial changes in grade or elevation thereof.

Ε. Installation of Underground Distribution System Within New Subdivisions

- Where appropriate contractual arrangements have been made, the Cooperative shall install within the subdivision an underground electric distribution system of suffic ient capacity and suitable materials which, in its judgement, will assure that the property owners will receive safe and adequate electric service for the foreseeable future.
- All single-phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment and meter cabinets may be placed above ground.
- Multi-phase primary mains or feeders required within a subdivision to supply local distribution or to serve individual milti-phase loads may be overhead unless underground is required by governmental authority or chosen by the Applicant, in either

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RULES AND REGULATIONS

Cooperative to extend service to portions of the subdivisions not under active development.

- 5. A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the Applicant shall be determined from the total footage of single-phase primary, secondary, and service conductor to be installed at an average per foot cost differential in accordance with the Average Cost Differential filed herewith as Exhibit A., which Average Cost Differential shall be updated annually as required by order date February 2, 1973, of the Public Service Commission of Kentucky in Administrative Case No. 146. (Three (3) wire secondary and service conductor runs shall be considered as one conductor, i.e. triplex). The average cost differential per foot, as stated, is representative of construction if soil is free of rock, shale, or other impairments which increase cost of construction Where rock, shale or other impairments are anticipated or encountered in construction the actual increased cost of trenching and backfilling shall be borne by the Applicant.
- 6. The Applicant may be required to deposit the entire estimated cost of the extension. If this is done, the amount deposited in excess of the normal charge for the underground extensions, as provided in paragraph 5, above, shall be refunded to the Applicant over a ten (10) year period as provided in 807 KAR 5:041, Section 11.
- 7. The Aplicant may be required to perform all necessary trenching and backfilling in accordance with the Cooperative's specifications. The Cooperative shall then credit the Applicant's cost in an amount equal to the Cooperative's normal cost for trenching and backfilling.
- 8. The Cooperative shall furnish, install, and maintain the service lateral to the Applicant's meter base, which normally will be at the corner of the building nearest to the point to be served.
- 9. Plans for the location of all facility to be installed be approved by the Cooperative and the Applicant prior to construction. Alterations in plans by the Applicant which require additional cost of installation or consideration shall be at the sole expense of the Applicant.
- 10. The Cooperative shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.
- 11. The charges specified in these rules are based on the premise that each Applicant will cooperate with the utility in an effort to keep the cost of construction and installation of the underground electric destribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.
- 12. All electrical facilities shall be installed and constructed to comply with the rules and regulations of the Kentucky Public Service Commission, National Electric Safety

DATE OF ISSUE August	27, 1986 D	ATE EFFECTIVE August	15	1986
month	day year	month	day	year
ISSUED BY	Dungan	General Manager	West Liberty,	KY
name of	officer	title	address	92

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RULES	AND REGULATIONS		

Code, Licking Valley RECC Specifications, or other rules and regulations which may be applicable.

Service pedestals and method of installation shall be approved by Licking Valley 13. RECC prior to installation.

In unusual circumstances, when the application of these rules appears impracticable or unjust to either party, or discriminatory to other consumers, the Cooperative or Applicant shall refer the matter to the Commission for a special ruling or for the approval of special conditions which may be mutually agreed upon, prior to commencing construction.

SECURITY LIGHTS

The Cooperative will install a security light free of installation charge to all property owner's requesting such service on or near the cooperative's lines. Any rentor or tennant requesting such installation will be required to pay the equivalent of one (1) year's annual charges in advance, due to their temporary nature. The monthly charges shall be deducted from such advance payment until the credit is depleted, at which time the consumer will pay for monthly charges as incurred. In the event that such temporary consumer has the security light disconnected before one (1) year has elapsed, any remaining credit shall be forfeited to the Cooperative.

READING OF DEMAND METERS

Cooperative employees read the demand meters on the 20th of each month. Bills for that period are mailed by the 20th of the following month. All other conditions of payment are specified under the billing section of these rules and regulations.

CERTIFICATE OF DEPOSIT

The utility shall issue to every customer from whom a deposit is received, a Certificate of Deposit showing the name of the customer, location of the initial premises occupied, date and amount of the deposit.

> PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

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DATE OF ISSUE August 27, 1986 month day year	DATE EFFECTIVE August month	15, 1986 day year
ISSUED BY Thame of officer	General Manager title	West Liberty, Ky address

For All Territory Served

P.S.C. KY No.

Forth Revised Sheet No. 13

Cancelling P.S.C. KY No.

Third Revised Sheet No. 13

Licking Valley Rural Electric Cooperative Corporation

RULES AND REGULATIONS

EXHIBIT A AVERAGE UNDERGROUND COST DIFFERENTIAL

			Primary	Secondary
*	Average cost	of 1 Ø Underground per foot	\$10.93	\$5.10
*	Average cost	of 1 Ø Overhead per foot	\$ 5.99	\$2.38
	Average cost	Differential per foot	\$ 4.94	\$2.72

* NOTE: Does not include Rock Clause.

TYPICAL ROCK CLAUSE

An additional \$20.00 per linear trench foot shall be charged where extremely rocky conditions are encountered, such conditions being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more cannot be removed using ordinary excavation equipment.

NOTE: The \$20.00 per linear trench foot figured is used as an example only and will be increased or decreased according to current prices supplied by contract SERVICE COMMISSION time the construction is to be performed.

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